



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Casey Campbell et al.

Serial No.: 09/805,232

Group Art Unit: Not Yet Assigned

Filed: March 13, 2001

Examiner: Not Yet Assigned

Title: METHOD AND APPARATUS FOR COUNTERPARTY COMMUNICATIONS AND
BROKERING TRANSACTIONS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on July 18, 2001.



Rosa A. Caviedes

* * *

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice to File Corrected Application Papers" mailed by the United States Patent and Trademark Office on May 23, 2001, the following documents are enclosed to complete the filing of the above-referenced patent application:

1. Patent Application with corrected margins;
2. Twenty-eight (28) Sheets of corrected informal drawings;
3. Copy of Notice to File Corrected Application Papers (1 pg.); and
4. Return Postcard.

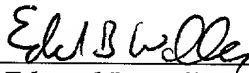
The patent application with corrected margins submitted herewith is a substitute specification. The substitute specification includes no new matter. Because no changes were made to the specification other than reformatting the margins of the specification, a marked-up version is not believed to be required under 37 CFR 1.125(b)(2). The drawings have been changed only to correct margins. No new matter has been added.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: July 18, 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/805,232	03/13/2001	Casey Campbell	2102716-900101

CONFIRMATION NO. 2123

FORMALITIES LETTER



OC000000006109578

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Date Mailed: 05/23/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE